

11-08-02

2817

EXPRESS MAIL CERTIFICATE

DATE 11/6/02 LABEL NO. EV 208554394-45

I HEREBY CERTIFY THAT, ON THE DATE INDICATED ABOVE  
THIS PAPER OR FEE WAS DEPOSITED WITH THE U.S. POSTAL SERVICE  
& THAT IT WAS ADDRESSED FOR DELIVERY TO THE COMMISSIONER  
OF PATENTS & TRADEMARKS, WASHINGTON, DC 20231 BY "EXPRESS  
MAIL POST OFFICE TO ADDRESSEE" SERVICE.

NAME (PRINT) B.W. LEE SIGNATURE B.W. Lee

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR  
CREDIT ANY EXCESS IN THE FEES DUE WITH THIS  
DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 4970/0K115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Naoki TANAKA ET AL.

Serial No: 10/022,096

Group Art Unit: 2817

Filed: December 13, 2001

Examiner: TBA

For: SURFACE ACOUSTIC WAVE FILTER

RECEIVED  
NOV 13 2002  
TC 2800 MAIL ROOM

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents and Trademarks  
Washington DC 20231

Sir:

In order to comply with 37 CFR 1.97 and 1.98, attached hereto is a copy of  
Form PTO-1449 and copies<sup>1</sup> of the documents listed thereon. These documents contain

<sup>1</sup> If a listed document is not in the English language and an English translation is readily available, such translation is also enclosed and attached to the copy of the document. If a translation is not attached, it is not readily available to the undersigned. If a

information which the Examiner should consider during examination of the present application.

In accordance with MPEP Sections 609 and 707.05(b), it is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing Form PTO-1449 next to the document. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

The undersigned is also enclosing herewith a copy of an Office Action, accompanied by an English translation, issued October 8, 2002 in the Japanese counterpart of the present patent application, in which the presently disclosed references were cited. Since the Office Action is translated into English, it is believed that the applicants in the above-identified patent application have now met the "concise explanation" requirement of 35 C.F.R. 1.98.

The present Information Disclosure Statement is being submitted in compliance with 37 CFR 1.56, but the citation of such document is not to be construed as

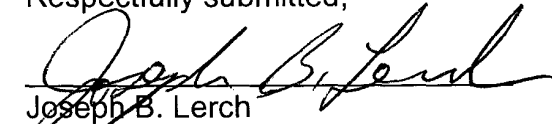
---

foreign language patent document is cited, and an English language equivalent is known to the undersigned, then the English equivalent patent is also listed on the enclosed form along with the corresponding foreign language patent and a connecting arrow indicates the relationship between them. If no such English language equivalent is cited, then none is known to the undersigned.

an admission that such document is necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner, in the normal course of examination, will make an independent search and will determine the best prior art consistent with 37 CFR 1.104(a) and 1.106(b) and, in the course of each search, will review for relevance every document cited on the attached form even if not initialed.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Joseph B. Lerch", is written over a horizontal line.

Joseph B. Lerch

Reg. No. 26,936

Attorney For Applicant(s)

DARBY & DARBY P.C.  
P.O. Box 5257  
New York, NY 10150-5257  
(212) 527-7700